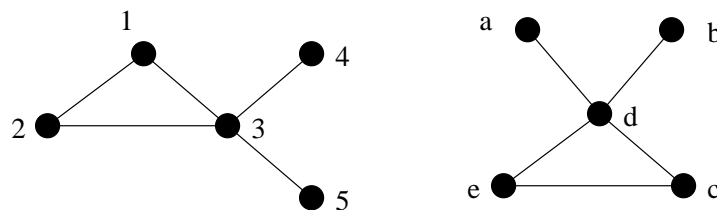


# COMPUTING ISOMORPHISM

In this chapter, we will look at graph isomorphism (and automorphism), including algorithms using invariants and certificates. We will also see isomorphism of other structures.

## Graph Isomorphism

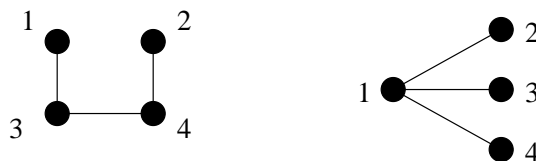
Example 1:



$G_1$  and  $G_2$  are **isomorphic**, since there is a bijection  $f : V_1 \rightarrow V_2$  that preserve edges:

$$\begin{aligned} 1 &\rightarrow c \\ 2 &\rightarrow e \\ 3 &\rightarrow d \\ 4 &\rightarrow a \\ 5 &\rightarrow b \end{aligned}$$

Example 2:



$G_3$  and  $G_4$  are not isomorphic. Any bijection would not preserve edges since  $G_3$  has no vertex of degree 3, while  $G_4$  does (the degree sequence of a graph is invariant (in sorted order) under isomorphism).

**DEFINITION.** Two graphs  $G_1 = (V_1, E_1)$  and  $G_2 = (V_2, E_2)$  are *isomorphic* if there is a bijection  $f : V_1 \rightarrow V_2$  such that

$$\{f(x), f(y)\} \in E_2 \iff \{x, y\} \in E_1.$$

The mapping  $f$  is said to be an *isomorphism* between  $G_1$  and  $G_2$ .

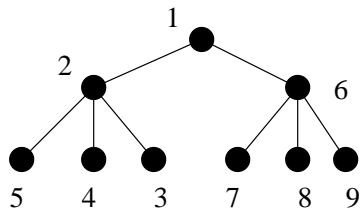
If  $f$  is an isomorphism from  $G$  to itself, it is called an *automorphism*. The set of all automorphisms of a graph is a permutation group (which is a group under the “composition of permutations” operation). See chapter 6 for more on permutation groups.

The problem of determining if two graphs are isomorphic is in general difficult, but most researchers believe it is not NP-complete.

Some special cases can be solved in polynomial time, such as: graphs with maximum degree bounded by a constant and trees.

## Invariants

Let  $DS = [deg(v_1), deg(v_2), \dots, deg(v_n)]$  be the degree sequence of a graph. And let  $SDS = [d_1, d_2, \dots, d_n]$  be its degree sequence in sorted order.



$$DS = [2, 4, 1, 1, 1, 4, 1, 1, 1]$$

$$SDS = [1, 1, 1, 1, 1, 1, 2, 4, 4]$$

$SDS$  is the same for all graphs that are isomorphic to  $G$ . So,  $SDS$  is *invariant* (under isomorphism).

**DEFINITION.** Let  $\mathcal{F}$  be a family of graphs. An *invariant* on  $\mathcal{F}$  is a function  $\phi$  with domain  $\mathcal{F}$  such that  $\phi(G_1) = \phi(G_2)$  if  $G_1$  is isomorphic to  $G_2$ .

If  $\phi(G_1) \neq \phi(G_2)$  we can conclude  $G_1$  and  $G_2$  are not isomorphic.  
 If  $\phi(G_1) = \phi(G_2)$ , we still need to check whether they are isomorphic.

**DEFINITION.** Let  $\mathcal{F}$  be a family of graphs on the vertex set  $V$ . Let  $D : \mathcal{F} \times V \rightarrow \{0, 1, \dots, k\}$ . Then, the *partition of  $V$  induced by  $D$*  is

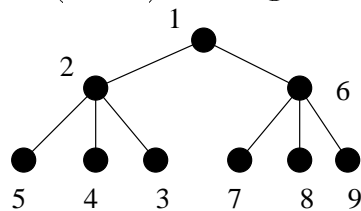
$$B = [B[0], B[1], \dots, B[k]]$$

where  $B[i] = \{v \in V : D(G, v) = i\}$ .

If  $\phi_D(G) = [ |B[0]|, |B[1]|, \dots, |B[k]| ]$  is an invariant, then we say that  $D$  is an invariant inducing function.

**Example:**

$D(G, u) =$  degree of vertex  $u$  in graph  $G$ .



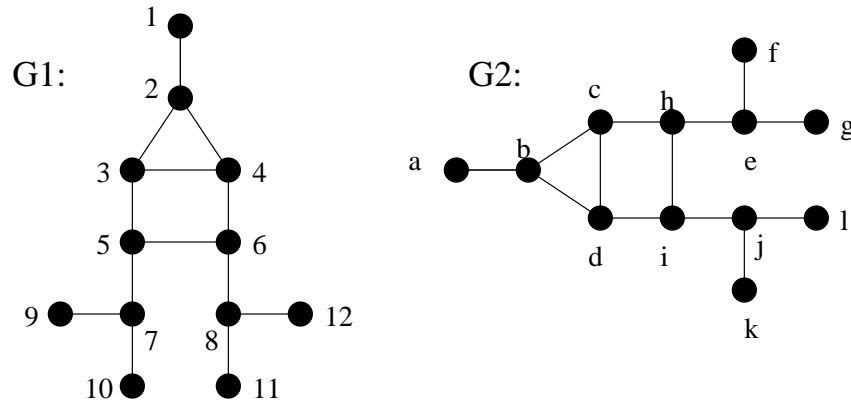
Ordered partition induced by  $D$ :

$$B = [\emptyset, \{3, 4, 5, 7, 8, 9\}, \{1\}, \emptyset, \{2, 6\}, \emptyset, \emptyset, \emptyset, \emptyset]$$

$$\phi_D(G) = [0, 6, 1, 0, 2, 0, 0, 0, 0]$$

$\phi_D(G)$  is an invariant for  $\mathcal{F} =$  family of all graphs on  $V$ .

So,  $D$  is an invariant inducing function.



Initial partition:

$$X_0(G_1) = \{1, 2, \dots, 12\} \quad X_0(G_2) = \{a, b, \dots, l\}$$

1st invariant inducing function:

$D_1(G, v) = \#$  of neighbours for each degree

|                                 |                                |
|---------------------------------|--------------------------------|
| $D_1(G_1, 1) = [0010 \dots 0]$  | $D_1(G_2, a) = [0010 \dots 0]$ |
| $D_1(G_1, 2) = [1020 \dots 0]$  | $D_1(G_2, b) = [1020 \dots 0]$ |
| $D_1(G_1, 3) = [0030 \dots 0]$  | $D_1(G_2, c) = [0030 \dots 0]$ |
| $D_1(G_1, 4) = [0030 \dots 0]$  | $D_1(G_2, d) = [0030 \dots 0]$ |
| $D_1(G_1, 5) = [0030 \dots 0]$  | $D_1(G_2, e) = [2010 \dots 0]$ |
| $D_1(G_1, 6) = [0030 \dots 0]$  | $D_1(G_2, f) = [0010 \dots 0]$ |
| $D_1(G_1, 7) = [2010 \dots 0]$  | $D_1(G_2, g) = [0010 \dots 0]$ |
| $D_1(G_1, 8) = [2010 \dots 0]$  | $D_1(G_2, h) = [0030 \dots 0]$ |
| $D_1(G_1, 9) = [0010 \dots 0]$  | $D_1(G_2, i) = [0030 \dots 0]$ |
| $D_1(G_1, 10) = [0010 \dots 0]$ | $D_1(G_2, j) = [2010 \dots 0]$ |
| $D_1(G_1, 11) = [0010 \dots 0]$ | $D_1(G_2, k) = [0010 \dots 0]$ |
| $D_1(G_1, 12) = [0010 \dots 0]$ | $D_1(G_2, l) = [0010 \dots 0]$ |

partition refinement of  $X_0$  induced by  $D_1$ :

$$X_1(G_1) = \{1, 9, 10, 11, 12\}, \{2\}, \{3, 4, 5, 6\}, \{7, 8\}$$

$$X_1(G_2) = \{a, f, g, k, l\}, \{b\}, \{c, d, h, i\}, \{e\}$$

$$X_1(G_1) = \{1, 9, 10, 11, 12\}, \{2\}, \{3, 4, 5, 6\}, \{7, 8\}$$

$$X_1(G_2) = \{a, f, g, k, l\}, \{b\}, \{c, d, h, i\}, \{e\}$$

2nd invariant inducing function:

$$D_2(G, v) = \# \text{ of triangles in } G \text{ passing through } v.$$

| v  | $D_2(G_1, v)$ |
|----|---------------|
| 1  | 0             |
| 2  | 1             |
| 3  | 1             |
| 4  | 1             |
| 5  | 0             |
| 6  | 0             |
| 7  | 0             |
| 8  | 0             |
| 9  | 0             |
| 10 | 0             |
| 11 | 0             |
| 12 | 0             |

| v | $D_2(G_2, v)$ |
|---|---------------|
| a | 0             |
| b | 1             |
| c | 1             |
| d | 1             |
| e | 0             |
| f | 0             |
| g | 0             |
| h | 0             |
| i | 0             |
| j | 0             |
| k | 0             |
| l | 0             |

partition refinement of  $X_1$  induced by  $D_2$ :

$$X_2(G_1) = \{1, 9, 10, 11, 12\}, \{2\}, \{3, 4\}, \{5, 6\}, \{7, 8\}$$

$$X_2(G_2) = \{a, f, g, k, l\}, \{b\}, \{c, d\}, \{h, i\}, \{e\}$$

$G_1$  and  $G_2$  are still compatible.

$$X_2(G_1) = \{1, 9, 10, 11, 12\}, \{2\}, \{3, 4\}, \{5, 6\}, \{7, 8\}$$

$$X_2(G_2) = \{a, f, g, k, l\}, \{b\}, \{c, d\}, \{h, i\}, \{e, f\}$$

We only need to check bijections between the following sets:

$$\{1, 9, 10, 11, 12\} \leftrightarrow \{a, f, g, k, l\}$$

$$\{2\} \leftrightarrow \{b\}$$

$$\{3, 4\} \leftrightarrow \{c, d\}$$

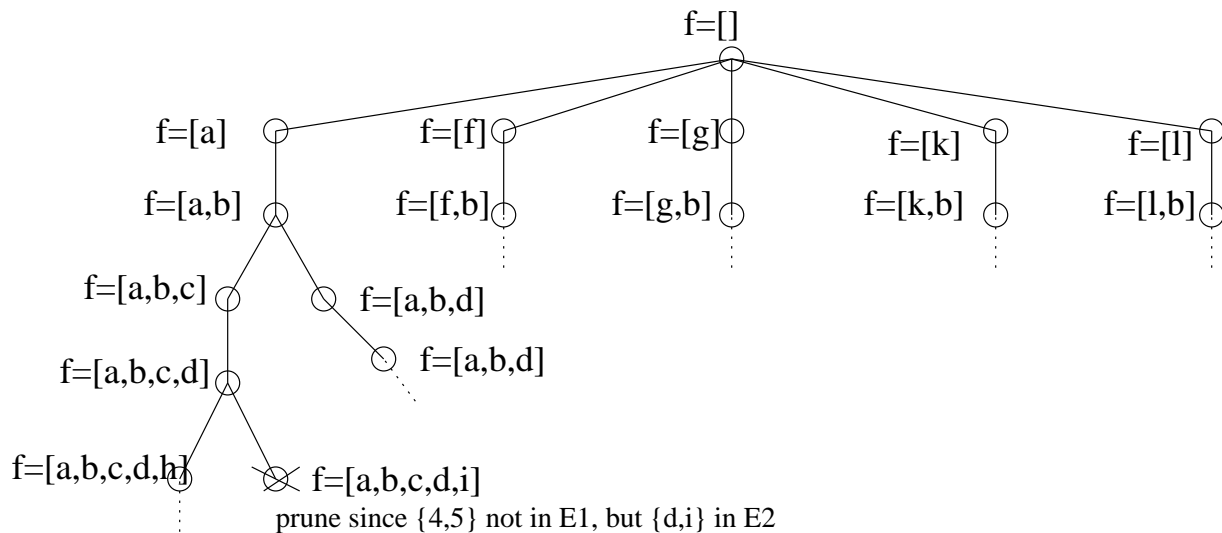
$$\{5, 6\} \leftrightarrow \{h, i\}$$

$$\{7, 8\} \leftrightarrow \{e, f\}$$

# of bijections to test:  $5! \times 1! \times 2! \times 2! \times 2! = 40$ .

Without partition refinement, we would have to test  $12!$  bijections!

### Backtracking algorithm to find all isomorphisms





**Algorithm** ISO( $\mathcal{I}, G_1, G_2$ ) (global  $n, W, X, Y$ )

**procedure** GETPARTITIONS()

$X[0] \leftarrow V(G_1); Y[0] \leftarrow V(G_2); N \leftarrow 1;$

for each  $D \in \mathcal{I}$  do

for  $i \leftarrow 0$  to  $N - 1$  do

Partition  $X[i]$  into sets  $X_1[i], X_2[i], \dots, X_{m_i}[i],$

where  $x, x' \in X_j[i] \iff D(x) = D(x')$

Partition  $Y[i]$  into sets  $Y_1[i], Y_2[i], \dots, Y_{n_i}[i],$

where  $y, y' \in Y_j[i] \iff D(y) = D(y')$

if  $m_i \neq n_i$  then exit; ( $G_1$  and  $G_2$  are not isomorphic)

Order  $Y_1[i], Y_2[i], \dots, Y_{m_i}[i]$  so that for all  $j$

$D(x) = D(y)$  whenever  $x \in X_j[i]$  and  $y \in Y_j[i]$

if ordering is not possible then exit; (not isomorphic)

Order the partitions so that:

$|X[i]| = |Y[i]| \leq |X[i + 1]| = |Y[i + 1]|$  for all  $i$

$N \leftarrow N + m - 1;$

return ( $N$ );

**procedure** FINDISOMORPHISM( $l$ )

if  $l = n$  then output ( $f$ );

$j \leftarrow W[l];$

for each  $y \in Y[j]$  do

$OK \leftarrow true;$

for  $u \leftarrow 0$  to  $l - 1$  do

if ( $\{u, l\} \in E(G_1)$  and  $\{f[u], y\} \notin E(G_2)$ ) or

$(\{u, l\} \notin E(G_1)$  and  $\{f[u], y\} \in E(G_2))$  then  $OK \leftarrow false;$

if  $OK$  then  $f[l] \leftarrow y; \text{FINDISOMORPHISM}(l + 1);$

**main**  $N \leftarrow \text{GETPARTITIONS}();$

for  $i \leftarrow 0$  to  $N$  do for eac  $x \in X[i]$  do  $W[x] \leftarrow i;$

$\text{FINDISOMORPHISM}(0);$

## Computing Certificates

**DEFINITION.** A *certificate*  $Cert()$  for a family  $\mathcal{F}$  of graphs is a function such that for  $G_1, G_2 \in \mathcal{F}$ , we have

$$Cert(G_1) = Cert(G_2) \iff G_1 \text{ and } G_2 \text{ are isomorphic}$$

## Certificates for Trees

We will compute certificates in polynomial time for the family of **trees**. Consequently, graph isomorphism for trees can be solved in polynomial time.

Algorithm to compute certificates for a tree:

1. Label all vertices with string 01.
2. While there are more than 2 vertices in  $G$ :  
for each non-leaf  $x$  of  $G$  do
  - 2.1. Let  $Y$  be the set of labels of the leaves adjacent to  $x$  and the label of  $x$  with initial 0 and trailing 1 deleted from  $x$ ;
  - 2.2. Replace the label of  $x$  with the concatenation of the labels in  $Y$ , sorted in increasing lexicographic order, with a 0 prepended and a 1 appended.
  - 2.3. Remove all leaves adjacent to  $x$ .
3. If there is only one vertex  $x$  left, report  $x$ 's label as the certificate.
4. If there are 2 vertices  $x$  and  $y$  left, concatenate  $x$  and  $y$  in increasing lexicographic order, and report it as the certificate.

Here, see slides with Examples 7.2,7.3,7.4,7.5 from the textbook.

## Certificates for general graphs

Let  $G = (V, E)$ . Consider all permutations  $\pi : V \rightarrow V$ .  
Each  $\pi$  determines an adjacency matrix:

$$A_\pi[u, v] = \begin{cases} 1, & \text{if } \{\pi(u), \pi(v)\} \in E \\ 0, & \text{otherwise.} \end{cases}$$

Example:  $G = (V = \{1, 2, 3\}, E = \{\{1, 2\}, \{1, 3\}\})$ .

| $\pi :$   | $A_\pi :$   | $Num_\pi$ | $\pi :$   | $A_\pi :$   | $Num_\pi$ |
|-----------|---|-----------|-----------|---|-----------|
| [1, 2, 3] | $\begin{matrix} - & 1 & 1 \\ - & - & 0 \\ - & - & - \end{matrix}$ | 110       | [1, 3, 2] | $\begin{matrix} - & 1 & 1 \\ - & - & 0 \\ - & - & - \end{matrix}$ | 110       |
| [2, 1, 3] | $\begin{matrix} - & 1 & 0 \\ - & - & 1 \\ - & - & - \end{matrix}$ | 101       | [2, 3, 1] | $\begin{matrix} - & 0 & 1 \\ - & - & 1 \\ - & - & - \end{matrix}$ | 011       |
| [3, 1, 2] | $\begin{matrix} - & 1 & 0 \\ - & - & 1 \\ - & - & - \end{matrix}$ | 101       | [3, 2, 1] | $\begin{matrix} - & 0 & 1 \\ - & - & 1 \\ - & - & - \end{matrix}$ | 011       |

We could define the certificate to be

$$Cert(G) = \min\{Num_\pi(G) : \pi \in Sym(V)\}.$$

$Cert(G)$  is difficult to compute.  $Cert(G)$  has as many leading 0's as possible. So,  $k$  is as large as possible, where  $k$  is the number of all-zero columns above the diagonal. So, vertices  $\{1, 2, \dots, k\}$  form a maximum independent set in  $G$  (or equivalently a maximum clique in the complement graph  $\overline{G}$ ).

So, computing  $Cert(G)$  as defined before is NP-hard.

However, it is believed that determining if  $G_1 \sim G_2$  ( $G_1$  isomorph to  $G_2$ ) is not NP-complete.

So, it is possible that the approach of computing  $Cert(G)$  to solve the graph isomorphism problem is more work than necessary.

So, instead, we will define the certificate as follows:

$$Cert(G) = \min\{Num_{\pi}(G) : \pi \in \Pi_G\},$$

where  $\Pi_G$  is a set of permutations determined by the structure of  $G$  but not by any particular ordering of  $V$ .

## Discrete and equitable partitions

**Definitions.**

A partition  $B$  is a **discrete partition** if  $|B[j]| = 1$  for all  $j$ ,  $0 \leq j \leq k$ .

It is a **unit partition** if  $|B| = 1$ .

Let  $G = (V, E)$  be a graph and  $N_G(u) = \{x \in V : \{u, x\} \in E\}$ .

A partition  $B$  is an **equitable partition** with respect to the graph  $G$  if for all  $i$  and  $j$

$$|N_G(u) \cap B[j]| = |N_G(v) \cap B[j]|$$

for all  $u, v \in B[i]$ .

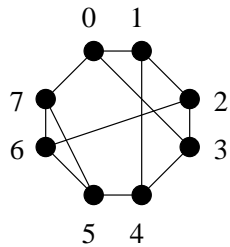
Given  $B$  an ordered equitable partition with  $k$  parts, we can define  $M_B$  to be a  $k \times k$  matrix where

$$M_B[i, j] = |N(G(v) \cap B[j]| \text{ where } v \in B[i].$$

Since  $B$  is equitable any choice of  $v$  produces the same result.

Also define  $Num(B) =$  sequence of  $k(k - 1)/2$  elements above diagonal written column by column.

Example:



$B = [\{0\}, \{2, 4\}, \{5, 6\}, \{7\}, \{1, 3\}]$  is an equitable partition with respect to the graph above.

$$M_B = \begin{bmatrix} 0 & 0 & 0 & 1 & 2 \\ 0 & 0 & 1 & 0 & 2 \\ 0 & 1 & 1 & 1 & 0 \\ 1 & 0 & 2 & 0 & 0 \\ 1 & 2 & 0 & 0 & 0 \end{bmatrix}$$

and  $Num(B) = [0, 0, 1, 1, 0, 1, 2, 3, 0, 0]$ .

If  $B$  is a **discrete** partition then  $B$  corresponds to a permutation  $\pi : B[i] = \{\pi[i]\}$ , in which case

$$Num(B) = Num_{\pi}(G),$$

adjusting so that  $Num(B)$  is interpreted as the sequence of bits of a binary number.

## Partition Refinement

**Definition.** An ordered partition  $B$  is a *refinement* of the ordered partition  $A$  if

1. every block  $B[i]$  of  $B$  is contained in some block  $A[j]$  of  $A$ ; and
2. if  $u \in A[i_1]$  and  $v \in A[j_1]$  with  $i_1 \leq j_1$ , then  $u \in B[i_2]$  and  $v \in B[j_2]$  with  $i_2 \leq j_2$ .

The definition basically says that  $B$  must refine  $A$  and preserve its order.

Example:

$$A = [\{0, 3\}, \{1, 2, 4, 5, 6\}]$$

$B = [\{0, 3\}, \{1, 5, 6\}, \{2, 4\}]$  is a refinement of  $A$ ,

but  $B' = [\{1, 5, 6\}, \{2, 4\}, \{0, 3\}]$  is not a refinement of  $A$  because blocks are out of order with respect to  $A$ .

Let  $A$  be an ordered partition and  $T$  be any block of  $A$ . Define a function  $D_T : V \rightarrow \{0, 1, \dots, n - 1\}$ :

$$D_T(v) = |N_G(v) \cap T|$$

This function can be used to refine  $A$ .



1. Set  $B$  equal to  $A$ .
2. Let  $\mathcal{S}$  be a list containing the blocks of  $B$ .
3. While ( $\mathcal{S} \neq \emptyset$ ) do
4.   remove a block  $T$  from the list  $\mathcal{S}$
5.   for each block  $B[i]$  of  $B$  do
6.       for each  $h$ , set  $L[h] = \{v \in B[i] : D_T(v) = h\}$
7.       if there is more than one non-empty block in  $L$  then
8.           replace  $B[i]$  with the non-empty blocks in  $L$   
          in order of the index  $h$ ,  $h = 0, 1, \dots, n - 1$ .
9.       add the non-empty blocks in  $L$  to the end of the list  $\mathcal{S}$

Notes: in step 5 we ignore blocks of  $\mathcal{S}$  if the block has already been partitioned in  $B$ .

The procedure will produce an equitable partition.

The ordering at step 8 is chosen in order to make  $Num(B)$  smaller.

Slide with a copy of Algorithm 7.5 page 256.

Slide with copy of example 7.8 pages 258-261.

Handwritten slides with explanation of the rest of the chapter.