

# Solutions for Assignment 1

October 22, 2003

## 1. Answers

a) False

We will show by contradiction that  $f \notin O(g)$ . Suppose  $f \in O(g)$ , then there exist constants  $c > 0$  and  $n_0 > 0$  such that  $\frac{f(n)}{g(n)} \leq c$  for all  $n \geq n_0$ . But

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \lim_{n \rightarrow \infty} n = \infty$$

which contradicts the previous statement.

b) False

Take  $f(n) = 1$  and  $g(n) = n$ . Then  $f_{min}(n) = 1$ , and

$$(f + g)(n) = f(n) + g(n) = n + 1$$

$f + g \notin \Theta(f_{min})$  since  $f + g \notin O(f_{min})$  (because  $\lim_{n \rightarrow \infty} \frac{f(n) + g(n)}{f_{min}(n)} = \lim_{n \rightarrow \infty} \frac{n+1}{1} = \infty$ ).

c) True

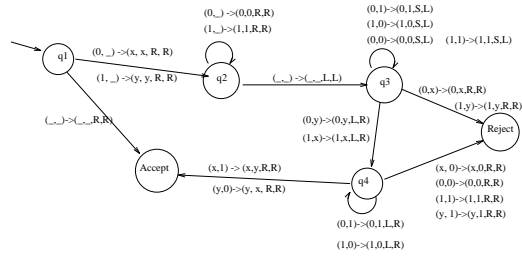
Suppose  $f \in O(g)$ . Then we know there exist positive constants  $c$  and  $n_0$  such that  $f(n) \leq cg(n)$  for all  $n \geq n_0$ . Thus  $\frac{1}{c}f(n) \leq g(n)$  for all  $n \geq n_0$ ; so there exists a constant  $d = \frac{1}{c} > 0$  such that  $df(n) \leq g(n)$  for all  $n \geq n_0$ , which implies  $g \in \Omega(f)$ .

d) False

Counterexample:  $f(n) = 1$ ,  $g(n) = n$ . In this case  $f \in O(g)$  since for  $c = 1$  and  $n_0 = 1$ ,  $f(n) = 1 \leq n = 1 \cdot g(n)$  for all  $n \geq 1$ . However  $g \notin O(f)$  since  $\lim_{n \rightarrow \infty} \frac{g(n)}{f(n)} = \lim_{n \rightarrow \infty} n = \infty$ , which is not below any constant.

1

2.1



2.2

- Step 1 (states q1 and q2): Go over tape 1 writing its contents to tape 2.
- Step 2 (state q3): Rewind tape 2 while keeping tape 1 in its last input symbol.
- Step 3 (state q4): Go over both tapes, tape 1 from right to left and tape 2 from left to right, comparing symbols. If symbols are equal at any point, reject. Stop when reaching leftmost point of tape 1.

Let  $T(n)$  be the worst case running time of  $M_2$ . Steps 1, 2, and 3 each consists of a single scanning of the tape so  $T(n) \in \Theta(n)$

2.3

- Step 2: Scan the tape again crossing the first symbol that is not an  $X$  and the last symbol that is not an  $X$ . If original symbols were equal then reject. If no symbol other than  $X$  has been found, accept.
- Step 3: GO to step 2.

The first step can be done with a single scan of the tape, so it takes  $O(n)$  steps. Similarly step 2 takes  $O(n)$  steps. Step 2 is repeated at most  $\frac{n}{2}$  times, since at each step two symbols are transformed into  $X$ . Therefore the total running time is in  $O(n^2)$

## 2.5 RAM Program

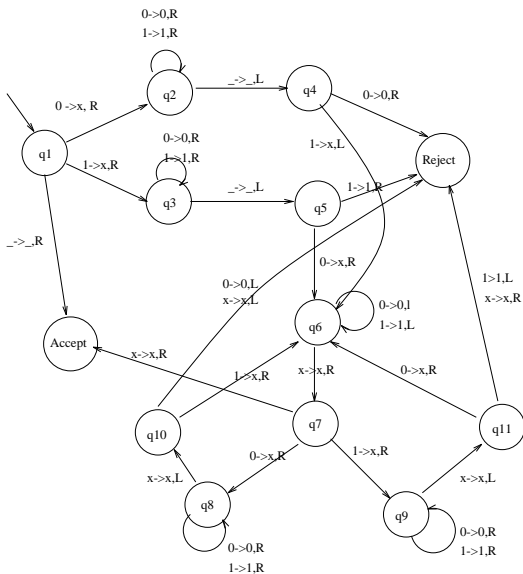
We will use registers:

- $r_0$  = accumulator
- $r_1$  = store index of leftmost symbol to be examined
- $r_2$  = store index of rightmost symbol to be examined

```

1 LOAD = 1
2 STORE 1
3 READ ↑ 1
4 STORE 2
5 LOAD 1
6 ADD = 2
7 STORE 1
8 LOAD 2
9 STORE ↑ 1
10 ADD 1
11 JZERO 16
12 LOAD 1
13 SUB 1
14 STORE 1
15 JUMP 3 // loop 3-15 reads i1, ..., in into r3, ..., rn+2
16 LOAD 1
17 SUB = 1
18 STORE 2 // r2 ← n + 2
19 LOAD = 3
20 STORE 1 // r1 ← 3
21 LOAD 2
22 SUB 1
    
```

4



## 2.4. Description and analysis

- Step 1: Scan the tape crossing the first and last symbols. If symbols were equal then reject.

3

```

23 JNEG 34 // if r2 < r1 then go to accept
24 LOAD ↑ 1
25 SUB ↓ 2
26 JZR 36 // if leftmost symbol = rightmost symbol then reject
27 LOAD 1
28 ADD =1
29 STORE 1 // r1 ← r1 + 1
30 LOAD 2
31 SUB =1
32 STORE 2 // r2 ← r2 - 1
33 JMP 21
34 LOAD =1 // accept
35 HALT
36 LOAD =0 // reject
37 HALT

```

### 2.6 Running Time

The first loop (line 3 to line 15) simply reads the input which takes time  $O(n)$ . The second loop (line 21 to line 33) runs for  $\lceil \frac{n}{2} \rceil$  iterations, so it takes time in  $O(n)$ . The whole program takes time in  $O(n)$ .

**3.** Let  $A \in P$ ,  $A \neq \Sigma^*$  and  $A \neq \emptyset$ . Since  $A \in P$ , we know  $A \in NP$ . It remains to show  $A$  is NP-hard.

We need to show that  $L \leq_p A$  for all  $L \in NP$ .

Let  $L \in NP$  be an arbitrary language in  $NP$ . Since  $P = NP$ , we conclude  $L \in P$  and so there exists a polynomial time algorithm  $D$  that decides  $L$ . We will build a reduction algorithm  $F$  (reduction from  $L$  to  $A$ ) in the following way:

```

Algorithm F(x)
{ Let a be a string in A, Let b be a string in  $\Sigma^* \setminus A$ 
if  $D(x) = 1$  then return a
else return b
}
if  $x \in L$  then  $D(x) = 1$  and  $F(x) = a \in A$ .
if  $x \notin L$ , then  $D(x) = 0$  and  $F(x) = b \notin A$ .

```

Moreover, since  $D$  runs in polynomial time and  $F$  simply calls  $D$  (polynomial time) and does a constant number of steps,  $F$  runs in polynomial

loop in line 6 runs  $n$  times  
loop in line 9 runs at most  $m$  times  
therefore line 10 over all iteration run in  $n \times m \times T(n, m)$   
line 11-12 run in time  $n \times m$   
line 8 and 15 are repeated  $n$  times and each time it may take  $O(n \times m)$ ,  
so totally, it is  $O(n^2 \times m)$   
Thus the running time for  $B$  is in  $O(n^2 \times m + n \times m \times T(n, m))$ , since  $T(n, m)$  is a polynomial,  $B$  runs in polynomial time.

### 5. Proof:

Step 1 DoubleSAT  $\in NP$   
Certificate: Two truth assignments  
Verification Algorithm:  
 $A(\langle \phi \rangle, y_1, y_2)$

- Evaluate formula  $\phi$  using truth assignment given in  $y_1$ . If ( $y_1$  is not a satisfying assignment) then return 0;
- Evaluate formula  $\phi$  using truth assignment given in  $y_2$ . If ( $y_2$  is not a satisfying assignment) then return 0;
- If ( $y_1 \neq y_2$ ) then return 1; else return 0;

$\phi$  is satisfiable  $\Leftrightarrow$  there exist two distinct truth assignments that satisfy  $\phi \Leftrightarrow$  there exists inputs  $y_1, y_2$  for  $A$  that causes  $A$  to return 1.

Algorithm  $A$  runs in polynomial time since the formula evaluation can be done in linear time with the size of  $\phi$ . Also  $y_1$  and  $y_2$  have size  $n$ , the number of variables in  $\phi$ , so these comparison also take linear time.

Step 2 We will prove  $\text{SAT} \leq_p \text{DoubleSAT}$

Step 3 We will describe the reduction algorithm  $F$ .  
Algorithm  $F(\langle \phi \rangle)$

```

{
  Let  $x_1, x_2, \dots, x_n$  be the variable in  $\phi$ 
  Build another formula  $\phi_2$  equivalent to  $\phi$  but substituting variables
   $x_1, x_2, \dots, x_n$  by  $y_1, y_2, \dots, y_n$  respectively.
  Create a formula  $\phi'$  as the disjunction of  $\phi$  and  $\phi_2$ :  $\phi' = \phi \vee \phi_2$ ;
  return  $\phi'$ 
}

```

time.

**4.** Since  $\text{HAMPATH} \in P$ , there exists a polynomial time algorithm  $A$  that decides  $\text{HAMPATH}$ .

Below we describe the polynomial time algorithm  $B$  that finds a hamiltonian path from  $u$  to  $v$ , if one exists.

```

Algorithm B ( $G = (V, E), u, v$ )
{
  1 if  $A(G, u, v) = 0$  then
  2   output "no hamiltonian path from u to v exists "
  3 else
  4   {  $n = |V|$ 
  5      $w = u$ 
  6     for  $i = 1$  to  $n$  do
  7       { PATH[i] = w;
  8          $G' \leftarrow G \setminus \{w\}$ ;
  9         for each edge  $(w, t)$  in  $G$  coming out of  $w$  do
  10          {if  $A(G', t, v) = 1$  then
  11            {  $w = t$ ;
  12              break this loop;
  13            }
  14          }
  15           $G \leftarrow G'$ ;
  16        }
  17        return (PATH);
  18      }
  19 }

```

The correctness of the algorithm comes from the idea that if there exists a hamiltonian path from  $u$  to  $v$ , having second vertex  $t$ , when we remove  $u$  from  $G$ , there must be hamiltonian path from  $t$  to  $v$  in the reduced graph. Iterating this principle, we can determine the sequence of the vertices in the hamiltonian path.

The algorithm runs in polynomial time, for the following reasons:

Let  $T(n, m)$  be the worst case running time of algorithm  $A$  for a graph with  $n$  vertices and  $m$  edges.

step1 takes time  $T(n, m)$

step 2-5 takes constant time

}

Step 4

• If  $\phi \in \text{SAT}$ , then there exists a satisfying assignment  $\sigma = (\sigma_1, \sigma_2, \dots, \sigma_n)$ , where  $\sigma_i = 0$  or 1 for  $\phi$ . Thus,  $\sigma$  satisfies  $\phi$  and  $\phi_2$ . Let  $\tau = (\tau_1, \tau_2, \dots, \tau_n)$ ,  $\tau \neq \sigma$ . Therefore  $\sigma^1 = (\sigma_1, \sigma_2, \dots, \sigma_n, \tau_1, \tau_2, \dots, \tau_n)$  and  $\sigma^2 = (\tau_1, \tau_2, \dots, \tau_n, \sigma_1, \sigma_2, \dots, \sigma_n)$  satisfy  $\phi' = \phi \vee \phi_2$ . So  $\phi' \in \text{DoubleSAT}$

• If  $\phi \notin \text{SAT}$ , then for all truth assignments  $\sigma = (\sigma_1, \sigma_2, \dots, \sigma_n)$ ,  $\phi$  evaluates to 0. Therefore, for any truth assignment  $\tau$ ,  $\phi_2$  evaluates to 0. So  $\phi' = \phi \vee \phi_2$  evaluates to 0 for any truth assignment  $(\sigma_1, \sigma_2, \dots, \sigma_n, \tau_1, \tau_2, \dots, \tau_n)$ . So  $\phi' \notin \text{DoubleSAT}$

Step 5

$\phi$  can be copied to  $\phi_2$  in linear time and both can be combined with an OR operation in constant time. So  $F$  runs in linear time on the size of  $\phi$